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By: *Loren D. Pearson*

Date: February 25, 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applic. No. : 09/363,277 Confirmation No: 7931  
Applicant : Kai Wurster et al.  
Filed : July 28, 1999  
Title : Trench Capacitator with an Insulation Collar and Method for  
Producing a Trench Capacitator  
Art Unit : 2812  
Examiner : Jennifer M. Kennedy  
Docket No. : GR 98 P 1801 C  
Customer No. : 24131

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. 1.97(i)**

Hon. Commissioner for Patents

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

United States Patent No. 5,363,327 (Henkles et al.), dated November 8, 1994;

United States Patent No. 5,656,535 (Ho et al.), dated August 12, 1997.

It is believed that the enclosed prior art is less pertinent than the prior art previously submitted and cited by the Examiner. Kindly place the references in the Patent Office file wrapper.

Respectfully submitted,

LOREN DONALD PEARSON  
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For Applicants

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Sheet 1 of 1

FORM PTO-1449 (SUBSTITUTE)

Attorney Docket No.:

Applic. No.

GR 98 P 1801 C

09/363,277

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(37 CFR 1.98(b))

Applicant

Kai Wurster et al.

Filing Date

July 28, 1999

Group Art Unit

2812

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIALS |   | PATENT NO. | DATE     | PATENTEE       | CLASS | SUB<br>CLASS | FILING<br>DATE |
|----------------------|---|------------|----------|----------------|-------|--------------|----------------|
|                      | A | 5,363,327  | 11/08/94 | Henkles et al. |       |              |                |
|                      | B | 5,656,535  | 08/12/97 | He et al.      |       |              |                |
|                      | C |            |          |                |       |              |                |
|                      | D |            |          |                |       |              |                |
|                      | E |            |          |                |       |              |                |
|                      | F |            |          |                |       |              |                |
|                      | G |            |          |                |       |              |                |
|                      | H |            |          |                |       |              |                |
|                      | I |            |          |                |       |              |                |

## FOREIGN PATENT DOCUMENT

|  |   | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB<br>CLASS | TRANSL.<br>YES   NO |
|--|---|--------------|------|---------|-------|--------------|---------------------|
|  | J |              |      |         |       |              |                     |
|  | K |              |      |         |       |              |                     |
|  | L |              |      |         |       |              |                     |
|  | M |              |      |         |       |              |                     |
|  | N |              |      |         |       |              |                     |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

|  |   |  |
|--|---|--|
|  | O |  |
|  | P |  |

EXAMINER

*J. Kennedy*

DATE CONSIDERED

*August 8, 2004*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609;  
Draw line through citation if not in conformance and not considered. Include copy of this form with  
next communication to applicant.